## **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT

(51) International Patent Classification <sup>5</sup> : C12Q 1/68, C12P 19/34	A1	(11) International Publication Number: WO 94/1610 (43) International Publication Date: 21 July 1994 (21.07.94
(21) International Application Number: PCT/US9 (22) International Filing Date: 14 January 1994 (1		CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PI
(30) Priority Data: 08/004,993 15 January 1993 (15.01.93)	τ	Published  With international search report.
(71) Applicant: THE PUBLIC HEALTH RESEARCH INS OF THE CITY OF NEW YORK, INC. [US/US]; Avenue, New York, NY 10016 (US).		
(72) Inventor: TYAGI, Sanjay; Apartment 4N, 485 First New York, NY 10016 (US).	Avenu	ie,
(74) Agents: JACOBS, Seth, H. et al.; Davis Hoxie Fai Hapgood, 45 Rockefeller Plaza, New York, NY 101	ithfull 11 (US	& S).
•		

(54) Title: DIAGNOSTIC ASSAYS AND KITS FOR RNA USING RNA BINARY PROBES AND AN RNA-DIRECTED RNA LIGASE

#### (57) Abstract

There are provided nucleic acid hybridization assays for RNA targets using RNA binary probes and a protein that is an RNA-directed RNA ligase. Preferred assays include generation of an exponentially amplified signal. Most preferred assays include T4 DNA ligase as the RNA-directed RNA ligase. Also provided are kits for performing assays according to this invention.

**BEST AVAILABLE COPY** 

BNSDOCID: <WO\_\_\_\_9416106A1\_I\_>

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Masuitania
AU	Australia .	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea ·	NB	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	1E	Ireland	NZ	New Zealand
BJ	Benin	П	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sedan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	ш	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lenka	TD	Chad
cs	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	ĹV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	rr	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	ŪA	Ukraine
ES	Spain .	MG ·	Madagascar	US	United States of America
Ħ	Finland	ML	Mali	UZ	Uzhekistan
FR	France	MN	Mongolia	VN	Vict Nam
GA	Gebon	1,414	********	A14	A test teams

BNSDOCID: <WO\_\_\_\_9416106A1\_I\_>

WO 94/16106 PCT/US94/00471

Diagnostic Assays and Kits for RNA Using RNA Binary Probes and a RNA-Directed RNA Ligase

This invention was made with government support under grant number HL-43521 awarded by the National Institutes of Health. The United States government has certain rights in this invention.

This invention relates to nucleic acid hybridization assays for the detection of RNA. Such assays are broadly applicable to diagnosis of a disease or condition in humans or animals, assays for pathogens in biological samples, and assays for an organism or virus in food, agricultural products or the environment.

15

20

25

-10

5

#### Background of the Invention

Nucleic acid hybridization assays of various types are known. There are several assays that utilize a pair of DNA probes and a step of ligating the probes with a DNA ligase, wherein ligation requires that the probes be hybridized adjacent to one another on a target. In this application I use the term "binary probes assay" to refer generally to any assay that includes the step of ligating a pair of probes that are hybridized to a nucleic acid target adjacent to one another. The requirement that the pair of probes be hybridized adjacent to one another on a target means that ligation is "target-dependent." I refer to the pair of probes as "binary reporter probes" or "binary probes."

One binary probe assay is the ligase chain reaction

("LCR") (Barany, 1991). In LCR, a first pair of DNA binary

probes is hybridized to one complementary strand of a DNA

target and ligated there by a DNA-directed DNA ligase to form

a first ligated product, and a second pair of DNA binary probes is hybridized to the first ligated product and similarly ligated to form a second ligated product. By cycling the reaction temperature, steps of melting, annealing probes and ligation are repeated to produce exponentially amplified products, i.e., ligated probes, that are then detected. I sometimes refer to ligated probes as a "reporter molecule" to distinguish from probes per se.

Another binary probe assay for DNA targets utilizes a pair of DNA binary probes, one of which serves to immobilize the target on the surface of a solid and the other of which contains a radioactive atom or fluorescent moiety (Landegren et al., 1988). This assay is reported to apply to RNA targets (using DNA binary probes), but no examples are given (Landegren & Hood, 1991).

A third assay for DNA targets utilizes a pair of DNA binary probes, one of which serves to immobilize the target on the surface of a solid, wherein the reporter molecule is a template that permits exponential amplification by an RNA-directed RNA polymerase such as bacteriophage  $Q\beta$  replicase (Martinelli et al., 1992). The reporter molecule may be a DNA molecule that is itself a template for  $Q\beta$  replicase (direct amplification), or it may be template for transcription by bacteriophage T7 RNA polymerase to produce an RNA template for  $Q\beta$  replicase (indirect amplification).

The assays described above suffer from several drawbacks pertinent here. Most are for DNA targets, for example. That is a drawback, because RNA targets suitable for detection are in most cases much more abundant in samples than their

5

10

15

20

corresponding DNA targets. All of the above assays use DNA binary probes. LCR requires thermal cycling and a thermocycler for amplification, and requires product analysis such as gel electrophoresis. The DNA binary probe assays of Landegren et al., 1988 and Landegren & Hood, 1991 do not include amplification and, therefore, are not sensitive assays. In assays employing exponential amplification by  $Q\beta$  replicase (Martinelli et al, 1992) the use of DNA probes limits sensitivity: either an additional step of transcription is required, which increases cost, takes additional time, and lowers sensitivity, or a DNA reporter molecule must be amplified directly, which is very inefficient because DNA is not a natural template for  $Q\beta$  replicase, thereby lowering sensitivity.

15 There is described in U.S. patent application Ser. No. 08/005.893 for Diagnostic Assays for RNA Using RNA Binary Probes and a Ribozyme Ligase, filed by P. Lizardi, myself, U. Landegren, F. Kramer and J. Szostak on the same day as this application, nucleic acid hybridization assays for the 20 detection of RNA targets using RNA binary probes and a ribozyme ligase, an RNA molecule such as that described in Doudna & Szostak, 1989. Assays utilizing the ribozyme ligases disclosed in that application have several drawbacks. SunY ribozyme ligase is disclosed to be permissive of 25 incorrectly hybridized probes. The <u>Tetrahymena</u> ribozyme ligase requires one probe to have a hybridization length (probe section) of no more than nine nucleotides, which necessitates hybridization and washing conditions that tend to

5

reduce the specificity of the assay. The present invention does not use ribozyme ligases.

It is an object of this invention to overcome the limitations of existing binary probe assays that use DNA binary probes.

It is a further object of this invention to enable use of a pair of RNA probes that both are highly specific for their target.

It is a further object of this invention to enable use of highly specific binary probes that, when ligated, form a reporter molecule that is directly and efficiently amplifiable by incubation with an RNA-directed RNA polymerase.

These and other objects of this invention will be apparent from the description that follows.

15

20

25

10

5

## Summary of the Invention

The assays of this invention are nucleic acid hybridization assays for RNA targets using RNA binary probes and a specific and efficient RNA-directed RNA ligase that is a protein.

Preferred embodiments are assays that include exponential amplification at a single temperature for signal generation, most preferably amplification by an RNA-directed RNA polymerase such as  $Q\beta$  replicase (Lizardi et al., 1988; Lomeli et al., 1989).

Preferred embodiments are sandwich hybridization assays (Syvanen et al., 1986).

Preferred embodiments also use techniques for reducing background, such as, for example, reversible target capture (Morrissey et al., 1989; Hunsaker et al., 1989).

Especially preferred embodiments utilize background reduction techniques disclosed in U.S. patent application Ser. No. 08/006.078, for Sensitive Nucleic Acid Sandwich Hybridization Assays and Kits, filed on the same day as the instant application by myself and U. Landegren, P. Lizardi and F. Kramer (hereinafter Tyagi et al. Ser. No. 08/006.073).

We have discovered certain proteins that function as 10 efficient RNA-directed RNA ligases in the assays of this invention. Our preferred RNA-directed RNA ligase is bacteriophage T4 DNA ligase. It is target-dependent, having a low ligation efficiency in the absence of target; it is believed to be intolerant of mismatched hybrids, especially 15 mismatches at the ligation junction; it accepts probes both having long probe sequences that assure specificity to a target sequence; and it is more than sufficiently efficient, that is, it enables extremely sensitive assays. A protein that is a ligase useful in assays of this invention is one 20 that permits the detection of 100,000 target molecules in the assay of Example 1.

We have developed a simple test to rapidly identify candidate proteins.

25 This invention also includes diagnostic kits for preselected targets containing a pair of RNA binary probes, a ligase that is a protein, and instructions for performing an assay according to this invention. Additional reagents may be included in preferred kits.

A detailed description of preferred embodiments of this invention, including particularly preferred embodiments, follows in the Detailed Description. Neither the Detailed Description nor the examples are intended to limit the scope of this invention or the appended claims.

## Brief Description of the Drawings

- Fig. 1 depicts the assay of Example 1.
- Fig. 2 shows the sequences of the probes of Example 1.
  - Fig. 3 depicts the preparation of binary probes of Example 1.
  - Fig. 4 shows results of a test assay according to Example 1 for a candidate ligase, T4 DNA ligase.
- Fig. 5 shows the results of an assay of infected cells according to Example 1 using T4 DNA ligase.
  - Fig. 6 shows the results of another assay according to Example 1 for known amounts of infected cells.
- Fig. 7 is an autoradiogram showing the results of a screening test according to Example 2.

#### <u>Detailed Description</u>

We have devised a straightforward screening test to identify proteins that are potentially useful in assays according to this invention. That test is described below in Example 2. It utilizes an RNA target and a pair of RNA binary probes, both of which are highly specific for the target, assuring specificity. Results of one test are shown in Figure 7, an autoradiogram of the test product. The test includes

20

25

not only a protein being tested as an RNA-directed RNA ligase (or several of them), but also a control in which no ligase is used. If the autoradiogram shows significantly more amplified product in a reaction containing a particular protein than in a control reaction containing no ligase, as discerned by the naked eye, then that protein is a candidate for further testing to see if it is useful as a ligase in assays of this invention.

Figure 7 shows that two proteins, T4 DNA ligase and E.

10 coli DNA ligase, led to significantly more product than the control without ligase. They are sufficiently efficient for further testing. Neither T4 RNA ligase nor Thermus aquaticus DNA ligase showed promise in the screening test, however.

Neither is a candidate for further testing. Because T4 DNA ligase visually was more efficient than E. coli DNA ligase, we prefer it and have used it in the assays of Example 1.

If a protein appears from the screening test to be a candidate ligase, it can then be used in the assay of Example 1 to determine whether or not it is an "efficient ligase," that is, one useful in assays of this invention. An efficient ligase is defined for purposes of this invention, including the appended claims, as one that permits the detection of 100,000 target molecules in the assay of Example 1. The ability to detect any given quantity of target molecules in the assay of Example 1 is a function of both a protein's target-dependent ligation efficiency and the protein's propensity for target-independent ligation, which tends to increase background. A preferred ligase is one that permits the detection of 1,000 target molecules in the assay

of Example 1. A most preferred ligase is one that permits the detection of 100 molecules in the assay of Example 1. T4 DNA is a most preferred ligase. It permits the detection of 100 target molecules in the assay of Example 1. We estimate that in that assay, T4 DNA ligase has a ligation efficiency of ten percent, plus or minus two percent. Of course, the screening test according to Example 2 may be skipped, with the assay of Example 1 being used directly to determine whether or not a particular protein is an efficient ligase.

The assays of this invention are for RNA targets. They require RNA binary probes and a protein that is an efficient RNA-directed RNA ligase. With those restrictions, any appropriate assay protocol can be used. The ligated product, here referred to as an RNA "reporter molecule," may itself be detected, i.e., without being amplified, in assays analogous to known assays using DNA binary probes (Landegren et al., 1988; Landegren and Hood, 1991). Alternately, the RNA binary probes may be designed such that the reporter molecule produced by their ligation is amplifiable, i.e., a template for an RNA-directed RNA polymerase, such as Qβ replicase (see Lizardi et al., 1988; Lomeli et al., 1989; Pritchard and Stefano, 1991; Martinelli et al., 1992, for examples of appropriate RNA molecules).

The assays of this invention may utilize one of the RNA binary reporter probes to immobilize target molecules on a solid surface, analogously to several of the above references that do so for DNA probes.

Preferred assays according to this invention utilize binary probes that both are "highly specific" for their

5

10

15

15

20

target, by which we mean probes having a probe section of at least 15 nucleotides hybridizable with the target sequence.

Preferred assays according to this invention utilize exponential amplification of RNA reporter molecules by an RNA-directed RNA polymerase, as described above. In addition, preferred embodiments of assays according to this invention utilize techniques for reducing background, such as, for example, reversible target capture (Morrissey et al., 1989; Hunsaker et al., 1989).

The most preferred embodiment of an assay according to this invention utilizes exponential replication of reporter molecules, specifically by QB replicase, in conjunction with the background reduction techniques disclosed in Tyagi et al. Ser. No. Q8/Q06.Q73 , which is incorporated herein by reference. The background reduction techniques are the use of a separate capture probe, hybridizable to the target at a site different from the target sequence to which the binary reporter probes hybridize, to form capture probe-target-reporter probes hybrids, immobilization of those hybrids, and release of reporter probes-target hybrids from the capture probes by cleavage, as by ribonuclease H (RNase H). Example 1 discloses our most preferred assay.

Proteins that are RNA-directed RNA ligases are useful also in assays that include a ligase chain reaction as an amplification step, for example, ligase chain reactions in which all of the nucleic acid components are RNAs. If the protein is not thermostable, protein must be added during each LCR cycle.

. 10

15

20

25

30

35

40

As stated earlier, this invention also includes kits for performing assays according to this invention. A preferred kit may contain some or all of the following items:

- 5 M guanidine thiocyanatic (GuSCN) buffer for the lysis of cells in clinical samples;
- 2. A mixture containing RNA binary reporter probes, preferably highly specific binary probes, for a preselected RNA target and, preferably, DNA capture probes;
- 3. A solid, such as a dipstick, reaction tube or paramagnetic particles, with streptavidin covalently bound thereto;
- 4. A magnetic separation device;
- Nucleotides;
  - 6. An efficient ligase useful in this invention, preferably T4 DNA ligase;
  - 7. RNase H and  $Q\beta$  replicase;
  - 8. Buffers for ligation and amplification;
  - 9. Reagents for detecting the amplified reporters, such as radioactive alpha-P<sup>32</sup>-cytidine triphosphate or propidium iodide;
  - 10. Instructions for performing an assay according to this invention.

A bare kit may contain only items 2, 6 and 10. A more complete kit will also contain at least items 1, 3 and 7. A kit containing at least items 1-2 and 5-10, where the solid is a dipstick or reaction tube, is particularly useful for assays to be performed outside a well-equipped laboratory.

## **EXAMPLES**

Example 1: RNA Target, RNA Binary Probes, DNA Capture Probes, Ribonuclease H Cleavage and T4 DNA Ligase

This embodiment, which employs T4 DNA ligase, has led to extremely sensitive assay depicted generally in Figure 1 for

10

15

20

25

the detection of an RNA target, illustrated here by human immunodeficiency virus ("HIV") RNA. The target sequence 2 of target 1 is located in the integrase region of HIV RNA. The assay begins by dissolving the sample in 5 M guanidine thiocyanate (GuSCN). A mixture of four different nucleic acid probes is then added to the sample. These probes hybridize to the HIV RNA, as shown in Figure 1. Two of the probes are capture probes 3, 4 made of DNA. Each capture probe has a hybridization sequence 5 or 6 at its 3' end that is complementary to the target 1. Capture probes 3, 4 also have a biotin moiety 7 at their 5' ends. The other two probes 8, 9 are highly specific binary reporter probes made of RNA. After hybridization, the hybrids are captured on the surface of paramagnetic particles 10 coated with streptavidin 11, which binds tightly to the biotin moiety 7 of the capture probes. The paramagnetic particles 10 are then washed extensively to remove unhybridized binary probes. Stringent washing with 2 M GuSCN rather than a more dilute solution, e.g., 1 M GuSCN, can be used because the binary probes both are highly specific. RNase H is then added to cleave the target RNA in the region where it is hybridized to the capture probes. Cleavage of the target RNA frees the binary probes-target hybrids 12 (shown in Figure 1 after ligation) from the capture probes.

The cleavage release step is quantitative and specific. The binary probes that nonspecifically adhere to the DNA capture probes or to the surface of the paramagnetic particles (i.e., sources of the background signal) are not released by this cleavage. The paramagnetic particles 10 are discarded.

WO 94/16106 PCT/US94/00471

- 12 -

In the supernatant, pairs of binary probes that are correctly hybridized adjacent to one another on their targets are ligated to each other in a target-dependent manner using a ligase that is a protein, in this case T4 DNA ligase. The ligated probes 13 are then amplified by incubation with  $Q\beta$  replicase. The amplified signal is strictly dependent on the presence of the target, and the level of the signal as a function of time can be used to determine quantitatively the number of molecules of HIV RNA in the initial sample.

10

15

20

25

5

## A. Synthesis of the Probes

The capture probes used in this example (Figure 2) have three functional parts. A head of 40-50 nucleotides which hybridize to the preselected target, a spacer of about 4 nucleotides, and a tail that binds tightly to the solid surface. We chose the tail to be a biotin moiety and covalently linked it to the 5' end of each capture probe. The biotin moiety can be attached anywhere in the capture probe, including at the 3' end and internally. The tail can be made up of some other affinity reagent, such as a homopolynucleotide.

Figure 1 shows the manner in which capture probes 3, 4 bind to target 1. Two different capture probes were used, rather than one, in order to increase the efficiency of capture and increase the stringency of release of the binary probe-target complexes. The two capture probes 3, 4 bind to target 1 on either side of the target sequence 2 to which the binary probes bind. Hybridization sequence 5 of capture probe 3 is complementary to region 4415-4458 of HIV genomic RNA, and

hybridization sequence 6 of capture probe 4 is complementary to region 4808-4852 of HIV genomic RNA. Figure 2 shows the sequences of the two capture probes 3, 4 used in this example. Underlines indicate hybridization sequences 5, 6 that are complementary to the target RNA. Both of the capture probes were prepared on a DNA synthesizer.

The desired reporter molecule of this particular embodiment requires the ligation of two binary probes, as shown in Figure 1. The use of binary probes is a preferred embodiment. Figure 1 illustrates the design of highly specific binary reporter probes 8, 9. The 5' end 14 of probe 8 consists of the first sixty-nine nucleotides of the replicatable probe for HIV used in our previous studies (Lomeli et al., 1989). The next twenty-three nucleotides are probe sequence 15, which is complementary to region 4596-4618 of HIV genomic RNA. The 5' end of probe 9 consists of 19-nucleotide probe sequence 17, which is complementary to region 4577-4595 of HIV genomic RNA. The remainder 16 of probe 9 corresponds to nucleotides 95-280 of the replicatable probe for HIV used in our previous studies (Lomeli et al., Figure 2 shows the sequences of binary probes 8, 9. 1989). Underlines indicate hybridization sequences 15, 17 that are complementary to the target sequence. Hybridization sequences 15, 17 both being at least fifteen nucleotides in length, probes 8, 9 are "highly specific" according to this invention. Neither of these molecules is a good replicator when incubated with  $Q\beta$  replicase, but if they are ligated to each other, they form an exponentially replicatable reporter molecule.

5 "

10

15

20

Binary probes 8, 9 were prepared by transcription from DNA templates generated in a polymerase chain reaction (PCR) shown in Figure 3. The plasmid 20 described in Lomeli et al., 1989 at page 1827, which we call plasmid pT7MDVHIV20, was used 5 as the source of MDV sequences in the PCR reactions. relevant section of the plasmid is shown in Figure 3. It is, of course, double-stranded. Four PCR primers 21, 22, 23 and 24 were designed in such a way that they contributed additional sequences to the PCR products 27, 28 that were not present in plasmid 20, but which were required. PCR product 10 27 is generated from primers 21, 22. PCR product 28 is generated from primers 23, 24. Primer 22 provided the terminal twenty-three nucleotides 25 in the template for probe Primer 23 provided T7 promoter 26 at the 5' end of the 15 template for probe 9. (Plasmid 20 provided a T7 promoter in the region of primer 21.) Probe 8 was transcribed in the usual manner from its PCR template, but the synthesis of probe 9 required a modification in the conditions of transcription by T7 RNA polymerase. The donor of the phosphate group in a ligation reaction, in this case probe 9, must contain a single 20 phosphate at its 5' end. RNA molecules prepared by transcription usually have a triphosphate at their 5' ends. In order to synthesize probe 9 with a single phosphate group at its 5' end, a ten-fold excess of guanosine 5'-monophosphate 25 over the nucleoside 5'-triphosphates was included in the transcription reaction. This lead to the incorporation of guanosine 5'-monophosphate at the first position of the probe 9. As a result, the copies of probe 9 possessed the required monophosphate at their 5' ends. After transcription, each of

15

20

25

the binary probe RNAs 8, 9 was purified by preparative polyacrylamide gel electrophoresis. The RNA was eluted directly from the gel slice into a 2 M guanidine thiocyanate (GuSCN) solution.

We have discovered since this example was performed that probe 9 can be improved by using a twenty-fold excess of guanosine 5'-monophosphate rather than the ten-fold excess reported above. Efficiency of ligation triples, from ten percent to thirty percent, when the greater excess is used, with T4 DNA ligase as the RNA-directed RNA ligase.

# B. Hybridization, Capture, Washing, and Release

The assay of this example has been performed on samples containing known amounts of HIV RNA molecules. This assay is used to ascertain whether or not a particular protein is an "efficient ligase" useful in assays according to this invention. It will be described with reference to a test of T4 DNA ligase. An RNA corresponding to the mRNA of the integrase gene of HIV was used as a model target. This RNA was prepared by transcription from linearized plasmid pGEM-integrase, that was prepared in our laboratory. Eight tubes containing differing amounts, for example, 10000000, 1000000, 100000, 10000, 1000, 100, and 0 molecules, of integrase RNA in 50 microliters of 2 M GuSCN were prepared by serial dilution. A 2 M GuSCN solution (50 microliters) containing  $10^{13}$  molecules of each of the capture probes and 2  $\times$ 10 molecules of each of the binary probes was added to each Hybridization was carried out by incubation at 37 degrees centigrade for one hour. A 30-microliter suspension

of paramagnetic particles coated with streptavidin (Promega) was then added to this hybridization mixture. probes-target hybrids were captured on the surface of the paramagnetic particles by a 10-minute incubation at 37 degrees The particles were washed with 2 M GuSCN four centigrade. times, with 300 mM KCl three times, and finally with lX ligase buffer (66 mM Tris-HCl, pH 7.5, 5 mM MgCl2, 1 mM DTT, 1 mM ATP) two times. After washing, one unit of E. Coli RNase H (Pharmacia) dissolved in 50 microliters of 1X ligase buffer was added. The binary probes-target hybrids were released from the surface of the paramagnetic particles by a 10-minute incubation at 37 degrees centigrade. The tubes containing the mixture were placed in the magnetic field provided by a magnetic separation device to draw the paramagnetic particles to the walls of the test tubes. The supernatant was then separated from the paramagnetic particles by aspiration and placed into a fresh tube.

The probe-target hybrids were then incubated with the candidate protein, T4 DNA ligase, in order to ligate the binary probes that were correctly hybridized to targets. Ligation was carried out in 40 microliters of the supernatant by the addition of 40 units of T4 DNA ligase and incubation at 37 degrees centigrade, which was appropriate for this protein, for one hour.

25 The assay of this example has also been performed with T4 DNA ligase on samples containing known amounts of HIV-infected cells. In order to demonstrate the specificity and sensitivity of this assay with clinical samples, human peripheral lymphocytes were infected with HIV. These infected

**5** 

10

15

cells were serially diluted with uninfected human peripheral Eight tubes were prepared, containing differing amounts, for example, 600000, 60000, 6000, 600, 60, 6, 0, and 0 infected cells. Each of these tubes had the same total 5 number of cells, for example, 600000. The tubes were centrifuged and the supernatant was removed. 240 microliters of 5 M GuSCN was added to each tube. The tubes were then incubated for 2 hours at 37°C in order to lyse the cells. After lysis, 40 microliters from each tube were assayed. A 60 microliter solution containing all four probes was added to the lysate. The addition of this solution reduced the GuSCN concentration in the lysate to 2 M. Hybridization and all subsequent reactions were carried out the same as described in the previous paragraph.

15

20

25

10

#### <u>Amplification</u>

The reporter molecules, comprising ligated binary probes, were then amplified by incubation with Qeta replicase. not necessary to melt apart the reporter molecule-target hybrids prior to amplification. A mixture containing all of the components of the replication reaction was added to each of the eight tubes. The final reaction mixture (120 microliters) was 45 mM Tris-HCl (pH 8), 10 mM MgCl2, 400 micromolar ATP, 400 micromolar GTP, 400 micromolar UTP, 400 micromolar alpha-P32-CTP, and contained 50 micrograms per milliliter  $Q\beta$  replicase. Each reaction was incubated at 37 degrees centigrade, and 4-microliter samples were withdrawn from each reaction every minute in the interval between 10 to 31 minutes of incubation. Each sample was mixed with a

10

45-microliter stop solution (120 mM NaCl, 20 mM EDTA, and 3 microgram per ml proteinase K). This solution stops replication by sequestering the required magnesium ions. The stop solutions were arranged in titerplates before addition of the samples. The RNA in each stopped reaction was separated from the unincorporated nucleoside triphosphates by precipitating the RNA in an acidic solution (360 mM phosphoric acid, 20 mM sodium pyrophosphate, and 2 mM EDTA), trapping the precipitate on a blotting membrane (Zeta Probe, Biorad), and then washing the membrane with the acidic solution. The RNA on the blots was visualized by autoradiography.

## D. Results

The results demonstrate that T4 DNA ligase is an 15 "efficient ligase" for use in assays according to this invention. Figure 4 shows a typical result of the assay described above for a dilution series of molecules of HIV integrase RNA. Figure 4 is an autoradiogram. Each row depicts the signal from a given sample over time, as 20 indicated. The intensity of each dot in Figure 4 is proportional to the amount of RNA that was present in the tube at the time the sample was withdrawn. The time at which RNA can first be seen in the autoradiogram depended upon the number of targets that were present. The greater the number 25 of targets, the earlier the signal appeared. There is a delay of at least about two-minutes for every 10-fold decrease in the number of targets. As few as a 100 targets molecules gave rise to a clear signal. Ten target molecules, or no target molecules, did not produce a signal, even after an additional

15

2.0

25

eight minutes of incubation (not shown). One hundred molecules of HIV RNA target were clearly detectable; and anything less gave no signal at all.

As stated, the minimum number of targets that could be detected in this assay using T4 DNA ligase was a hundred molecules. We have determined by other experiments that the efficiency of ligation was ten percent plus or minus two percent. Based on our experience and on Figure 7, we estimate that ligation efficiency of E. coli DNA ligase in this assay to be about one percent, but we have not determined its efficiency by other experiments. The improvement in synthesis of probe 9 reported above, which triples ligation efficiency, will improve the sensitivity of this assay even more, but to determine whether or not a candidate ligase is an "efficient ligase" useful in assays according to this invention, the probes actually used in this example are used.

Figures 5 and 6 depict the results of assays according to this example with T4 DNA ligase on samples containing HIV—infected human peripheral lymphocytes. Figures 5 and 6 are autoradiograms as described in connection with Figure 4. The results of the two experiments, when considered together, show that one infected cell can be detected in a sample containing 100,000 uninfected cells, and that no signal is seen in samples that contain no infected cells. In both experiments each sample contained a total of 100,000 cells. In the first experiment (Figure 5), the results show a clear relationship between the number of infected cells in a sample and the time of appearance of the signal. The greater the number of infected cells, the earlier the signal appeared. Thus, the

10

assay is quantitative as well as qualitative. controls, each of which contained 100,000 uninfected cells (and no infected cells), produced no signal. However, the tube that contained one infected cell also did not give a signal. We hypothesized that, after lysis, the sample was not mixed completely. The lysed samples are extremely viscous (due to the presence of cellular DNA), and since there were only about six infected cells in the 240 microliter volume of the lysate, the probability of not sampling any HIV RNA in the 40 microliter sample withdrawn from the sample for the assay was high. In order to eliminate such a possibility, the samples were frozen and thawed and then exhaustively mixed, prior to using them in the next experiment. The assay was repeated with these well-mixed samples. The results, shown as the bottom six samples in Figure 6, demonstrate that our hypothesis was correct and that, after proper mixing, the tube containing the nucleic acid from about one infected cell gave a strong signal with an appropriate delay time.

estimate the number of molecules of HIV RNA in each infected cell. We prepared two standards for this purpose. Standard 1, the first standard tube, contained 1,000,000 HIV integrase mRNA transcripts. Standard 2, the second lysate from 100,000 uninfected cells and 1,000,000 molecules of HIV integrase mRNA transcripts. The results of the experiment, shown as the top two samples in Figure 6, show that the presence of lysate from the uninfected cells has only a slight quenching effect. From the signal obtained from this internal standard, we were able

to estimate that each infected cell contained about 3,000 HIV target molecules.

A note of caution is in order. Our laboratory is used for research on replicatable RNA molecules, which have a tendency to become airborne contaminants. In a test of the above assay, we obtained an aberrant signal in one of the tubes. Electrophoretic analysis of the amplified RNA revealed that it was not the reporter.  $Q\beta$  replicase will amplify a number of RNAs, and our sample had become contaminated.

10

15

20

25

5

## Example 2: Selection of an Appropriate Ligase

We have developed an extremely sensitive screening procedure for identifying RNA-directed RNA ligase activities of candidate proteins. By this procedure we have identified at least two proteins that can catalyze RNA-directed RNA ligation. Following is a description of the screening procedure.

Ten billion HTV integrase mRNA molecules were hybridized to a large excess of RNA binary probes and DNA capture probes. The reporter probes-hybrids were then captured, washed and released from the capture probes by incubation with RNase H, in the manner described in Example 1. The released material was divided into five aliquots. A candidate protein was added to four of the each aliquots so that the five aliquots contained; no ligase, T4 DNA ligase, T4 RNA ligase, E. coli DNA ligase, and a DNA ligase isolated from the thermophilic organism, Thermus aquaticus. The reaction mixture for the last two ligases also contained 1 mM NAD, which is a required cofactor. The first four reactions were incubated at 37

10

15

20

25

degrees centigrade, while the last reaction was incubated at 55 degrees centigrade. All the reactions were incubated for one hour. After ligation, the ligated binary probes were amplified by incubation with  $Q\beta$  replicase for 15 minutes at 37 degrees centigrade. The products were fractionated by electrophoresis on a polyacrylamide gel.

Figure 7 is the resulting autoradiogram. It shows the gel fraction 50 corresponding to amplified reporter molecule for each aliquot. T4 DNA ligase was sufficiently efficient to be a candidate for use in this invention. It catalyzed the synthesis of the largest amount of ligated product. E. coli DNA ligase also worked well and was judged to be a candidate. The DNA ligase from T. aquaticus gave a result not visually distinguishable from the control containing no ligase. It did not work, at least not sufficiently efficiently to be a candidate for use in this invention.

To be considered as a candidate ligase for use in this invention, as stated above, a protein must give results, i.e., amplified product 50, that can be readily seen by the naked eye to be larger than the product (background) from the control with no ligase. On the basis of this extremely sensitive procedure, we selected T4 DNA ligase as a candidate for use in our assays. It was subjected to the assay of Example 1 and found to be an "efficient Ligase" useful in assays according to this invention. It was in fact found to be a most preferred ligase that permitted the detection of 100 molecules. This ligase was also found to be particularly "efficient" for the ligation of DNA binary probes that are hybridized to an RNA target.

## References

5

35

Barany, F. (1991) Genetic Disease Detection and DNA Amplification Using Cloned Thermostable Ligase. Proc. Natl. Acad. Sci. U.S.A. 88, 189-193.

Doudna, J.A. and Szostak, J.W. (1989) RNA-catalyzed Synthesis of Complementary-Strand RNA. Nature 339, 519-522.

- Hunsaker, W.R., Badri, H., Lombardo, M. and Collins, M.L. (1989) Nucleic Acid Hybridization Assays Employing dA-Tailed Capture Probes. II. Advanced Multiple Capture Methods. Anal. Biochem. 181, 360-370.
- Landegren, U., Kaiser, R., Sanders, J., and Hood, L. (1988) A Ligase-mediated Gene Detection Technique. Science 241, 1077-1080.
- Landegren, U., and Hood, L. (1991) Method of Detecting a Nucleotide Change in NucleicAcids. U. S. Patent No. 4,988,617.
- Lizardi, P., Guerra, C. E., Lomeli, H., Tussie-Luna, I., and Kramer, F. R. (1988) Exponential Amplification of Recombinant-RNA Hybridization Probes. Biotechnology 6, 1197-1202.
- Lomeli, H., Tyagi, S., Pritchard, C. G., Lizardi, P. M., and Kramer, F. R. (1989) Quantitative Assays Based on the Use of Replicatable Hybridization Probes. Clin. Chem. 35, 1826-1831.

Martinelli, R. M., Donahue, J. J., and Unger, J. T. (1992) Amplification of Midivariant DNA Templates. European Patent Application Publication No. 0 431 704 A1.

- Morrissey, D. V., Lombardo, M., Eldredge, J. K., Kearney, K. R., Goody, E. P., and Collins, M. L. (1989) Nucleic Acid Hybridization Assays Employing dA-Tailed Capture Probes: I. Multiple Capture Methods. Anal. Biochem. 81, 345-359.
- Pritchard, C. G., and Stefano, J. E. (1991) Detection of Viral Nucleic Acids by Qβ Replicase Amplification. Medical Virology 10 (de la Maza, L. M., and Peterson, E. M., eds), pp 67-80, Plenum Press, New York.
  - Syvanen, A. C., Lambsonen, M. and Soderlund, H. (1986) Fast Quantification of Nucleic Acid Hybrids by Affinity-based Hybrid Collection. Nucleic Acids Res. 14, 5037-5048.

.5

10

## We claim: ,

- 1. A nucleic acid hybridization assay to determine the presence in a sample of a preselected RNA target containing a target sequence, comprising the steps of:
- a. incubating the sample with a pair of RNA binary reporter probes complementary to the target sequence to form a reporter probes-target hybrid, and
  - b. incubating the reporter probes-target hybrid with a protein that is an efficient RNA-directed RNA ligase to form an RNA reporter molecule.
- 2. An assay according to claim 1, wherein said protein permits detection of 1000 target molecules in the assay of Example 1.
- 3. An assay according to claim 1, wherein said protein permits detection of 100 target molecules in the assay of Example 1.
  - 4. An assay according to claim 1, wherein said protein is T4 DNA ligase.
- 5. An assay according to claim 1, wherein said protein 20 is <u>E. coli</u> DNA ligase.
  - 6. An assay according to claim 1, wherein one of said pair of binary probes serves to immobilize the target on the surface of a solid and the other of said binary probes is detectable.
- 7. An assay according to claim 1, wherein said reporter molecule is a template for an RNA-directed RNA polymerase, further comprising a step of incubating said reporter molecule with the RNA-directed RNA polymerase.

10

15

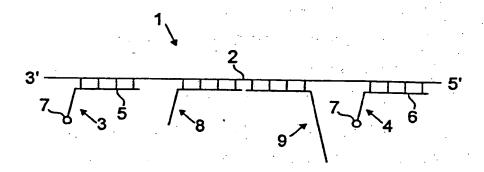
20

- 8. An assay according to claim 7, further comprising a step of reversible target capture after step a and before step b.
- 9. An assay according to claim 7, wherein both of said binary probes are highly specific for said target sequence.
- 10. An assay according to claim 1, wherein both of said binary probes are highly specific for said target sequence.
- 11. A nucleic acid hybridization binary probe assay to determine the presence in a sample of a preselected RNA target containing a target sequence, comprising the steps of:
- a. incubating the sample with a first RNA binary probe to immobilize the target on a solid surface and a second RNA binary probe to form an immobilized reporter probes-target hybrid,
  - b. washing the immobilized probes-target hybrid,
- c. incubating the reporter probes-target with a protein that is an efficient RNA-directed RNA ligase to form an amplifiable reporter molecule,
- d. incubating said reporter molecule with an RNA-directed RNA polymerase to form an amplified product, and
- e. detecting the presence of said amplified product as an indication of the presence of said target.
- 12. An assay according to claim 11, wherein said ligase permits detection of 1000 target molecules in the assay of Example 1.
- 13. An assay according to claim 11, wherein said protein is T4 DNA ligase.
- 14. An assay according to claim 11, wherein both of said binary probes are highly specific for said target sequence.

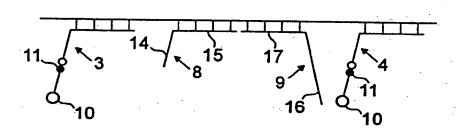
- 15. An assay according to claim 14, wherein said RNA-directed RNA polymerase is  $Q\beta$  replicase.
- 16. An assay according to claim 14, including a step of reversible target capture between steps b and c.
- 17. An assay according to claim 14, wherein said protein is T4 DNA ligase.
  - 18. A kit of reagents for performing a binary probe hybridization assay for a preselected RNA target containing a target sequence comprising:
- a. a pair of RNA binary probes complementary to said target sequence,
  - b. a protein that is an efficient RNA-directed RNA ligase, and
    - c. instructions for performing an assay.
- 19. A kit according to claim 18, wherein said protein is T4 DNA ligase.
  - 20. A kit according to claim 18, wherein said protein is E. coli DNA ligase.
  - 21. A kit according to claim 18, wherein both of said binary probes are highly specific for said target sequence.
  - 22. A kit according to claim 21 wherein said protein permits detection of 1000 target molecules in the assay of Example 1.
- 23. A kit according to claim 21, wherein said protein isT4 DNA ligase.
  - 24. A kit according to claim 18 further comprising an RNA-directed RNA polymerase capable of exponentially amplifying a ligated product of said binary probes.

- 25. "A kit according to claim 24, wherein said polymerase is  $Q\beta$  replicase.
- 26. A kit according to claim 25, wherein said ligase is T4 DNA ligase.
- 27. In a binary probe hybridization assay for a preselected RNA target containing a target sequence, utilizing a pair of RNA binary probes and a target-dependent ligase for said probes, the improvement comprising the use of RNA binary probes and a protein that is an efficient RNA-directed RNA ligase.
  - 28. An assay according to claim 27, wherein said protein is T4 DNA ligase.
  - 29. An assay according to claim 27, wherein said RNA binary probes are both highly specific for said target sequence.
  - 30. An assay according to claim 29, wherein said protein permits detection of 1000 target molecules in the assay of Example 1.
- 31. An assay according to claim 29, wherein said protein 20 is T4 DNA ligase.
  - 32. In an assay that includes a ligase chain reaction as an amplification step, the improvement comprising using RNA probes and a protein that is an efficient RNA-directed RNA ligase.
- 25 33. An assay according to claim 32, wherein the protein is T4 DNA ligase.

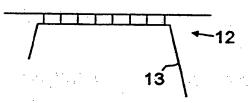
Hybridize Probes



Capture Wash



Cleave Isolate Ligate



Amplify Detect

FIG. 1

**Capture Probe 3** 

10 20 30 40 49 5' Biotin-TACGACTGCT ACCAAGATAA CTTTTCCTTC TAAATGTGTA CAATCTAGC 3'

# **Capture Probe 4**

10 20 30 40 49 5' Biotin-TACGATGTCT GTTGCTATTA TGTCTACTAT TCTTTCCCCT GCACTGTAC 3'

## **Binary Probe 8**

10 20 30 40 50 60
5' GGGGACCCC CCGGAAGGGG GGGACGAGGU GCGGCACCU CGUACGGGAG UUCGACCGUG

70 80 90
ACGCUCUAGC AGGCGGCCUU AACUGUAGUA CU 3'

# **Binary Probe 9**

5' GGUGAAAUUG CUGCCAUUGA GAUCUAGAGC ACGGGCUAGC GCUUUCGCGC UCUCCCAGGU

70 80 90 100 110 120
GACGCCUCGU GAAGAGGCGC GACCUUCGUG CGUUUCGGUG ACGCACGAGA ACCGCCACGC

130 140 150 160 170 180
UGCUUCGCAG CGUGGCUCCU UCGCGCAGCC CGCUGCGCGA GGUGACCCCC CGAAGGGGGG

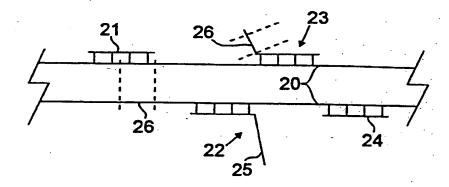
UUCCC 3'

185

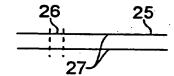
# FIG. 2

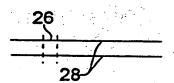
3/7

Hybridize Primers



Amplify (PCR)

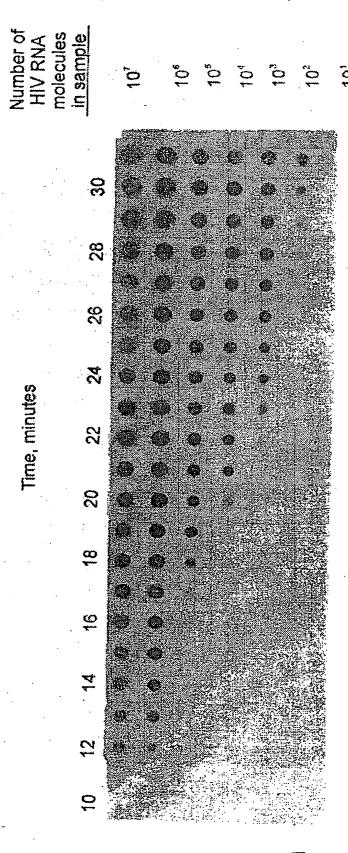




Transcribe

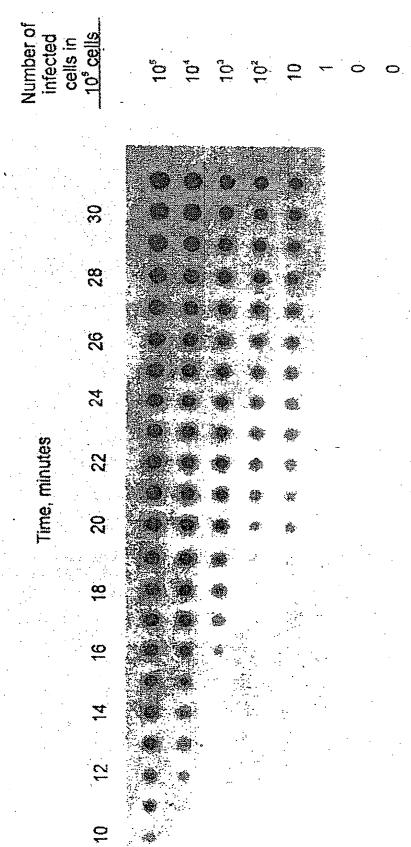
Purify

FIG. 3



(C)

SUBSTITUTE SHEET



## SUBSTITUTE SHEET

6/7

Standard 1 Standard 2

ဓ္ဗ

8

26

7

23

2

<u>~</u>

Time, minutes

Number of infected cells in 10° cells

7/7

control
T4 DNA ligase
T4 RNA ligase
E. coli DNA ligase
T. aquaticus DNA ligase

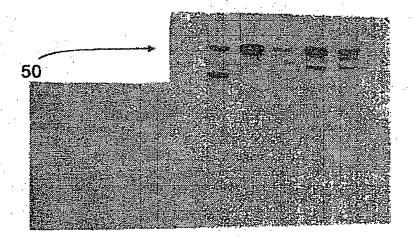


FIG. 7

## INTERNATIONAL SEARCH REPORT

Int. ...ional application No.
PCT/US94/00471

	<del></del>					
A. CLASSIFICATION OF SUBJECT MATTER						
IPC(5) :C12Q 1/68; C12P 19/34. US CL :435/6, 91.2, 91.51, 91.52						
According to International Patent Classification (IPC) or to both national classification and IPC						
B. FIELDS SEARCHED						
I	ocumentation searched (classification system follower	d by classification symbols)				
U.S. : 435/6, 91.2, 91.51, 91.52						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Electronic d	lata base consulted during the international search (ne	ame of data base and, where practicable	, search terms used)			
CA, World Patents, Medline, Biosis and APS search terms: RNA, ligase, ligation, binary probes						
C. DOC	UMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	ppropriate, of the relevant passages	Relevant to claim No.			
X Y	US, A, 4,988,617 (LANDEGREN et 5 and 9.	1-4,6,10,27-33 5,7,8,9, 11-26				
Y	Annals of Clinical Biology, Volum Pritchard et al, "Amplified Detection Subattomole Levels Using Q Beta 497, especially figure 2 and pages	7-9, 11-17, 24- 26				
Υ	EP, A2, 0 439 182 (BACKMAN et and 5.	al) 31 July 1991, pages 4	5, 20			
Further documents are listed in the continuation of Box C. See patent family annex.						
Special categories of cited documents:  "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the						
*A* doc to !	cument defining the general state of the art which is not considered be part of particular relevance	principle or theory underlying the inv				
	tier document published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be considered.	e claimed invention cannot be red to involve an inventive step			
cite	cument which may throw doubts on priority claim(s) or which is d to establish the publication date of another citation or other	when the document is taken alone  Y  document of particular relevance: the				
•	cial reason (as specified)  cument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive	step when the document is			
"P" doc	means being obvious to a person skilled in the art document published prior to the international filing date but later than "A" document member of the same retent family.					
	Date of the actual completion of the international search  Date of mailing of the international search					
28 MARCH 1994		18 APR 1994				
Name and mailing address of the ISA/US  Commissioner of Patents and Trademarks  Box PCT		Authorized officer				
Washington	a, D.C. 20231	CARLA MYERS Jell Warden for				
Facsimile N	o. NOT APPLICABLE	Telephone No. (703)/308-0196	U			

Form PCT/ISA/210 (second sheet)(July 1992)\*

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

# IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.